



Anti-Money Laundering and Anti-Terrorist Financing Attestation



Registered in England and Wales, Company Number 3692131. VAT Registration Number: 927 1065 33

Authorised and Regulated by the Financial Conduct Authority



This document has been authorised and approved by the Board of Directors of Schneider Trading Associates Limited.

Schneider Trading Associates Limited (FRN 208247) is a Wholesale Financial Intermediary, authorised and regulated by The Financial Conduct Authority, engaged primarily as an agency brokerage business.

The company is incorporated in the United Kingdom (Company No. 03692131) with its registered office at Level 22, Heron Tower, 110 Bishopsgate, London EC2N 4AY.

Schneider Trading Associates Limited ("Firm") confirms that it has implemented throughout the Firm, Anti-Money Laundering and Anti-Terrorist Financing Policies and Procedures designed to comply with all applicable anti-money laundering laws and regulations, including sanctions regulations.

In accordance with The Financial Conduct Authority Handbook SYSC 3.2.6R and SYSC 6.3, this AML policy framework provides for:

- The designation of an Money Laundering Reporting Officer of sufficient seniority who has responsibility for oversight of the Firm's compliance with relevant legislation, regulations, rules and industry guidance;
- A Risk-Based Approach towards assessing and managing the money laundering and terrorist financing risks for the Firm;
- A Risk-based program for customer due diligence, identification, verification and know your customer (KYC) procedures, including:
 - enhanced due diligence for those customers presenting higher risk.
- Establishment of systems and procedures to monitor customer accounts and activity.
- Internal procedures for reporting suspicious transactions or activity and the reporting of suspicious activity to the relevant law enforcement authorities.
- Procedures for screening of clients and transactions.
- A program of risk-based AML training to all workers.
- Appropriate communications, internal controls and monitoring to ensure that the Firm Policies and Principles are well understood and are being complied with in practice.

Ronnie Riddle

Managing Director

For and on behalf of the Board of Directors
Schneider Trading Associates Limited